



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitsuaki Nishie et al.                      Art Unit : 2633  
Serial No. : 10/806,689                                      Examiner : Unknown  
Filed : March 23, 2004  
Title : OPTICAL TRANSMISSION AND RECEIVER MODULE

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications, if any, can be provided upon request. A copy of a communication from a foreign patent office in a counterpart Japanese application is also enclosed.

English-language abstracts are included for all listed references.

This statement is being filed before the receipt of a first Office Action on the merits. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated November 8, 2005, which is not more than three months prior to the filing of this statement.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 23, 2005

Date of Deposit

*Paula J. Romeo*

Signature

Paula T. Romeo

Typed or Printed Name of Person Signing Certificate

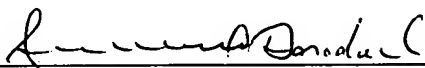
Applicant : Mitsuaki Nishie et al.  
Serial No. : 10/806,689  
Filed : March 23, 2004  
Page : 2 of 2

Attorney's Docket No.: 12852-023001 / 103315-US-00

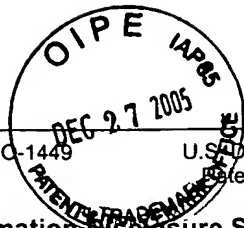
Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12/23/05

  
\_\_\_\_\_  
Samuel Borodach  
Reg. No. 38,388

Fish & Richardson P.C.  
Citigroup Center  
52nd Floor  
153 East 53rd Street  
New York, New York 10022-4611  
Telephone: (212) 765-5070  
Facsimile: (212) 258-2291



|  |  |                                       |                               |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>12852-023001 | Application No.<br>10/806,689 |
|  | Applicant<br>Mitsuaki Nishie et al.                        |                                       |                               |
|  | Filing Date<br>March 23, 2004                              | Group Art Unit<br>2633                |                               |

| U.S. Patent Documents |           |                 |                  |          |       |          |                            |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|                       | AA        |                 |                  |          |       |          |                            |
|                       | AB        |                 |                  |          |       |          |                            |
|                       | AC        |                 |                  |          |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | AD        | 2000-028850     | 01/28/2000       | Japan                    |       |          | X           |    |
|   | AE        | 09-325248       | 12/16/1997       | Japan                    |       |          | X           |    |
|   | AF        | 2003-004991     | 01/08/2003       | Japan                    |       |          | X           |    |
|   | AG        | 2000-249875     | 09/14/2000       | Japan                    |       |          | X           |    |
|   | AH        | 2000-180671     | 06/30/2000       | Japan                    |       |          | X           |    |
|   | AI        | 10153720        | 06/09/1998       | Japan                    |       |          | X           |    |

| Other Documents (include Author, Title, Date, and Place of Publication) |           |          |
|---|-----------|----------|
| Examiner Initial  | Desig. ID | Document |
|   | AJ        |          |
|   | AK        |          |
|   | AL        |          |
|   | AM        |          |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |